



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re Application of:

Crowther et al.

Serial No.: 09/955,507

Filed: September 18, 2001

Title: Catalyst Compounds, Catalyst  
Systems Thereof and Their Use in A  
Polymerization Process

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BEFORE THE EXAMINER:

Rob Rábago

Group Art Unit No.: 1713

Attorney Docket No.: 1999U033.US

Houston, Texas

January 28, 2003

RECEIVED  
FEB 6 2003  
TC 1700

#12  
Jedg  
2/8/03

Assistant Commissioner for Patents and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to Applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

Form PTO-1449 is attached to this paper listing documents submitted in the above related case. It is respectfully requested that these documents be considered by the Examiner and an initialed copy of each form be returned to the Attorney or Agent of record. Note is made that previously submitted documents were apparently not legible to the USPTO. This submission is merely to rectify the problem. The Examiner is respectfully requested to phone the undersigned if the documents are still unreadable, and they will be mailed.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings.

02/04/2003 SSESHE1 00000098 09955507

01 FC:1806

180.00 0P

1999U033.US.IDS.01.28.03.doc

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98 and the Manual of Patent Examining Procedures § 609. If for any reason, the Examiner considers the disclosure or documents to not comply with these sections, notification is respectfully requested.

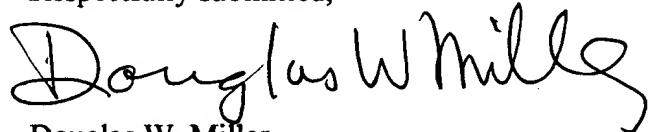
Applicants request that the Examiner contact the Applicants' Agent if there are any matters or issues outstanding.

Note is made that the correspondence should be sent to:

Douglas W. Miller  
In representation of Univation Technologies, LLC  
c/o Judith A. Kruger  
5555 San Felipe, Suite 1950  
Houston, TX 77056  
Facsimile: (713) 892-3687

However the telephone number for Douglas W. Miller is (713) 780-7799.

Respectfully submitted,

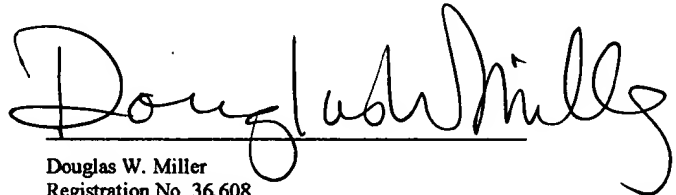


Douglas W. Miller  
Agent for Applicants  
Registration No. 36,608

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date January 28, 2003.



Douglas W. Miller  
Registration No. 36,608



## FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective November 10, 1998

Complete if Known

Application Number	09/955,507
Filing Date	September 18, 2001
First Named Inventor	Crowther, et al.
Group Art Unit	1713
Examiner Name	Rob Rábago
Attorney Docket Number	1999U033.US

Total Amount of Payment (\$180.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: \_\_\_\_\_

Deposit Account Name: \_\_\_\_\_

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:  
☐ Check ☐ Money Order ☒ Other

## FEE CALCULATION

## 1. FILING FEE

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	_____
1002	330	2002	165	Design filing fee	_____
1003	510	2003	255	Plant filing fee	_____
1004	740	2004	370	Reissue filing fee	_____
1005	160	2005	80	Provisional filing fee	_____

SUBTOTAL (1) (\$)

## 2. CLAIMS

Total Claims \_\_\_\_\_ - 20\*\* = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_  
Extra Fee from below Fee Paid

Independent Claims \_\_\_\_\_ - 3\*\* = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

Multiple Dependent Claim \_\_\_\_\_ = \_\_\_\_\_

\*\* or number previously paid, if greater, For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	_____
1201	84	2201	42	Independent claims in excess of 3	_____
1203	280	2203	140	Multiple dependent claim	_____
1204	84	2204	42	** Reissue independent claims over original patent	_____
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

1051 130 2051 65 Surcharge - late filing fee or oath

1052 50 2052 25 Surcharge - late provisional filing or cover sheet

1053 130 1053 130 Non-English specification

1812 2520 1812 2520 For filing a request for reexamination

1804 920\* 1804 920\* Req. publication of SIR prior to Examiner action

1805 1840\* 1805 1840\* Req. publication of SIR after Examiner action

1251 110 2251 55 Extension for reply within first month

1252 400 2252 200 Extension for reply within second month

1253 920 2253 460 Extension for reply within third month

1254 1440 2254 720 Extension for reply within fourth month

1255 1960 2255 980 Extension for reply within fifth month

1401 320 2401 160 Notice of Appeal

1402 320 2402 160 Filing a brief in support of an appeal

1403 280 2403 140 Request for oral hearing

1451 1510 1451 1510 Petition to institute a public use proceeding

1452 110 2452 55 Petition to revive - unavoidable

1453 1280 2453 640 Petition to revive - unintentional

1501 1280 2501 640 Utility issue fee (or reissue)

1502 460 2502 230 Design issue fee

1503 620 2503 310 Plant issue fee

1460 130 1460 130 Petition to the Commissioner

1807 50 1807 50 Processing fee under 37 CFR 1.17(q)

1806 180 1806 180 Submission of Information Disclosure Stmt.

8021 40 8021 40 Recording each patent assignment per property (times number of properties)

1809 740 2809 370 Filing a submission after final rejection (37 CFR 1.129(a))

1810 740 2810 370 For each additional invention to be examined (37 CFR 1.129(b))

1801 740 2801 370 Request for Continued Examination (RCE)

1802 900 1802 900 Request for expedited examination of a design application

Other Fee (specify) \_\_\_\_\_

\*Reduced by basic filing fee paid

SUBTOTAL (3)

(\$180.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Douglas W. Miller	Reg. Number	36,608	Telephone	713-780-7799
Signature	<i>Douglas W. Miller</i>	Date	January 28, 2003		